FORM PTO-1390 (Modified) U.S. PATENT AND TRADEMARK OFFICE; U.S. DEPARTMENT TRANSMITTAL LETTER TO THE UNITED STATES 283280US0PCT DESIGNATED/ELECTED OFFICE (DO/EO/US) U.S. APPLICATION NO. (If known, see 37 CFR 1.5) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PCT/EP04/05807 May 28, 2004 August 14, 2003 TITLE OF INVENTION POWDERY, WATER-SOLUBLE CATIONIC POLYMER COMPOSITION, METHOD FOR THE PRODUCTION AND USE THEREOF APPLICANT(S) FOR DO/EO/US Gregor HERTH, et al. Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below. The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). If the declaration is in a language other than the English language, it is accompanied by an English translation. The translation is accurate (37 CFR 1.69) An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)). A copy of the International Preliminary Examination Report (PCT/IPEA/409). A copy of the International Search Report (PCT/ISA/210). Items 13 to 23 below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. Cited References 4 c. Statement of Relevancy PTO-1449 b. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. A FIRST preliminary amendment. A SECOND or SUBSEQUENT preliminary amendment. An Application Data Sheet under 37 CFR 1.76. 18. A substitute specification. A power of attorney and/or change of address letter. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825. A second copy of the published International Application under 35 U.S.C. 154(d)(4). 21. A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4). 22.

Other items or information: Notice of Priority/Amended Sheets (pages 4, 8, 10, 23-25)

AP20 Res'd FCT/FFO 09 FEB 2006

16 July 18

U.S. APPLICATION N	NO. (If known, see 37 CFR 1		L APPLICATION NO.	20220011CODO				
The following fee	6/664	PCT/EP04/	03607	283280US0PC	PTO USE ONLY			
I he following fee	s are submitted:			CALCULATIONS	11000201421			
24. Basic natio	onal fee	\$300.00						
25. Examination								
If the written opinion prepared by IPEA/	prepared by ISA/US or th LIS indicates all claims s	e international preliminar atisfy provisions of PCT A	y examination report Article 33(1)-(4) \$0					
All other situations			\$200	\$200.00				
26. Search fee								
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Search fee (37 CFR 1	.445(a)(2)) has been pai	d on the international app	olication to the USPTO					
		A other than the US and						
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All other situations				\$400.00				
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Total claims	20 - 20 =	0	x \$50.00	\$0.00				
Independent claims	1 - 3=	0	x \$200.00	\$0.00				
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Applicant claims	s small entity status. See	e 37 CFR 1.27. Fees abo						
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22850	1		Norman F. Oblon Surinder Sachar					
Tel. (703) 413-300			NAME Registration No. 34,423					
Fax. (703) 413-22 (OSMMN 1/06)	20	<u>24,618</u>						
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- Docker No.

283280US0PCT

IN RE APPLICATION OF: Gregor HERTH, et al.

SERIAL NO: New U.S. PCT Application Based on PCT/EP04/05807

FILED:

Herewith

FOR:

POWDERY, WATER-SOLUBLE CATIONIC POLYMER COMPOSITION, METHOD FOR THE

PRODUCTION AND USE THEREOF

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

SIR:

Transmitted herewith is an amendment in the above-identified application.

- No additional fee is required
- ☐ Small entity status of this application under 37 C.F.R. §1.9 and §1.27 is claimed.
- Additional documents filed herewith:

Credit Card Payment Form for \$1,030.00/PCT Transmittal Letter/Application Data Sheet/Notice of Priority/Preliminary Amendment/English Translation of Specification/International Search Report/Information Disclosure Statement/PTO-1449/Cited

References (4)/Amended Sheets (pages 4, 8, 10, 23-25)

The Fee has been calculated as shown below:

CLAIMS	CLAIMS REMAINING		HIGHEST NUMBER PREVIOUSLY PAID	NO. EXTRA CLAIMS		RATE		CALCULATIONS
TOTAL	20	MINUS	20	0	х	\$50	=	\$0.00
INDEPENDENT	1	MINUS	3	0	х	\$200	=	\$0.00
		☐ MULTIPLE DEPENDENT CLAIMS + \$36					=	\$0.00
TOTAL OF ABOVE CALCULATIONS							NS	\$0.00
☐ Reduction by 50% for filing by Small Entity							\$0.00	
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	Α	check	in	the	amount	of	\$0.00	is	attached	1.
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- ☐ Credit card payment form is attached to cover the fees in the amount of \$0.00
- Please charge any additional Fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.
- If these papers are not considered timely filed by the Patent and Trademark Office, then a petition is hereby made under 37 C.F.R. §1.136, and any additional fees required under 37 C.F.R. §1.136 for any necessary extension of time may be charged to Deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.

Norman F. Oblon

Registration No. 24,618

Customer Number

22850

Tel. (703) 413-3000 Fax. (703) 413-2220 (OSMMN 05/03) Surinder Sachar

Registration No. 34,423